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| **Faculty of Health Sciences****Animal Ethics Committee (AEC)****Reciprocal recognition of review decisions** |
| All UCT researchers (including postgraduate students) must apply for ethics approval at their home institution, irrespective of where the research will be conducted. In case where a UCT staff member or student conduct researcher other than at UCT, should notify the relevant Faculty AEC by means of completing this form (UCT Research Ethics Code for Use of Animals in Researcher and Teaching, Last updated: March 2012). This form must be completed by external researchers to apply for reciprocal acknowledgement from UCT FHS AEC (Department of Health’s Ethics in Health Research: Principles, Structures and Processes, 2015 (2nd edition).Please submit a copy of the approved protocol, reviewer comments (if any), compliance certificates; e.g. DAFF Sec. 20 (if any) and AEC approval letter.  |
| **This application must be typed, and one signed completed form submitted to:**Animal Ethics CommitteeG50, Old Main Building, Groote Schuur Hospital,Observatory, 7700Telephone: +27 21 406 6626fhsanimalresearch@uct.ac.za  | **Please complete the following:** |
| Strain |  |
| Species |  |
| Total number of animals |  |
| Severity category |  |
| Date approved |  |
| Duration of study |  |

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| **1. TITLE OF APPLICATION** |  |
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| **2. DETAILS OF RESEARCHER** |
|  Title (e.g. Prof, Dr, Mr, Ms) |  |
| Forenames & Surname  |  |
| Qualifications (e.g. PhD) |  |
| Position or appointment |  |
| Contact details  |  |
| SAVC authorised for the proposed procedures and species? If yes, supply details. |  |
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| **3. AEC DETAILS**  |
| Name of the Institution |  |
| Name of AEC |  |
| AEC registered with NHREC |  |
| NHREC registration number |  |
| Name and contact details of AEC representative  |  |

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| **4. ANIMAL DATA** |
| **Source of animals** |  |
| **Location of animals**  |  |
| **Strain** |  |
| **Species** |  |
| **Total number of animals** |  |
| **Severity category** (see table below) |  |
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| **STRESS AND DISCOMFORT SEVERITY (Please tick where appropriate)** |
| Category A (**no discomfort;** observational) | Experiments on sentient animals that are not expected to produce discomfort, pain, suffering, distress or lasting harm. |  |
| Category B **(mild)** | Experiments on sentient animal species expected to produce short term mild pain, suffering or distress; Procedures with no significant impairment of the wellbeing or general condition of the animals, e.g. single blood sampling, injections, anaesthetics, or procedures on anaesthetised animals that do not regain consciousness. |  |
| Category C **(moderate)** | Experiments on sentient animals which are likely to cause short term moderate pain, suffering or distress, or long-lasting mild pain, suffering or distress. Procedures that are likely to cause moderate impairment of the wellbeing or general condition of the animals, e.g. surgical procedures under anaesthesia, or repeated dosing/ injections/ sampling etc. over time. |  |
| Category D **(severe)** | Experiments in which sentient animals are likely to experience severe pain, suffering or distress, or long-lasting moderate pain, suffering or distress. Procedures, which are likely to cause severe impairment of the wellbeing or general condition of the animals |  |

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| **5. ADDITIONAL RESEARH PARTICIPANTS:**  |
| **Name** | **SAVC authorisation (if applicable)** | **Role**  |
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| **6. DURATION OF STUDY**  |
| Period for which the application is required (must not exceed three years) | Years |  | Months |  |
| Start date |  | End date |  |

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| **7. PURPOSE** (select category) |
| Research |  | Teaching/training |  | Other (specify) |  |

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| **8. FUNDING INFORMATION and AEC FEE STRUCTURE**Waivers may be granted in certain circumstances based on a motivation. The FHS AEC reserves the right to not review a research protocol or amendment request, or to withhold an AEC approval letter if the application fee is outstanding. Queries regarding invoices should be addressed to Ms. Nosi Tsama. The FHS Ethics Office (Research Ethics Manager) will keep record of charges. Application fees are subject to annual review |
| **a) Funding source** | **(✓ )**  | **Ethics Review Levy** **(incl. VAT)** |
| UCT (e.g. departmental funding / student research)  |  | R 0.00 |
| National Grant Funding Organizations  |  | R 0.00 |
| International Grant Funding Organizations  |  | R 6 099.00 |
| Pharmaceutical / Industry Driven funder (sponsors an investigator to conduct a research project, test a substance or product, or produce or manufacture a substance or product) |  | R 24 396.00 |
| Federally funded (USA government funding, for example the National Institutes of Health)Foundation sponsored (e.g. Bill and Melinda Gates, Wellcome Trust) Private Institutions or Agencies (e.g. Discovery Health) **(BELOW R1m)** |  | R 6 099.00 |
| Federally funded / Foundation sponsored / Private Institutions (as above)**(ABOVE R1m)** |  | R 12 198.00 |
| **b) AEC Banking details**  Standard Bank Rondebosch;  Branch code: 025009; Account number: 071503854 Reference: AEC Protocol number (e.g. AEC\_016\_001) |

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| **9. SIGNATURES**  |
| **PI Signature** |  | **Date** |  |
| **Researcher Signature** |  | **Date** |  |

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| **10. ADDITIONAL DOCUMENTATION****Note:**  **ALL** appropriate documents **must be submitted for this application to be considered** by the FHS AEC |
|  | **Yes** | **No**  | **N/A** | **Pending** |
| Approved protocol/application  |  |  |  |  |
| Reviewer comments / AEC feedback (if any) |  |  |  |  |
| Institutional AEC approval |  |  |  |  |
| Faculty Biosafety Committee Approval |  |  |  |  |
| DAFF Section 20 permit for protocol |  |  |  |  |
| Facility is registered with South African Veterinary Council (SAVC) |  |  |  |  |
| Research Participants SAVC authorised for all relevant procedures and species |  |  |  |  |
| Radiation Protection Committee approval  |  |  |  |  |
| GMO Certification for laboratory |  |  |  |  |
| DAFF compliance certificate for laboratory |  |  |  |  |
| Nature Conservation permits for Wildlife |  |  |  |  |